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PTO/SB/05 (08-00)  
Approved for use through 10/31/2002 OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |   |
|------------------------|---|
| Attorney Docket No.    | 42252-1009  |
| First Inventor         | Timothy D. FORRESTER  |
| Title                  | SYSTEM AND METHOD FOR RECEIVING AND TRANSMITTING INFORMATION IN A MULTIPATH ENVIRONMENT |
| Express Mail Label No. | EL 904 934 970 US   |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 21]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: Certificate of Express Mailing

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.


## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label



or ☒ Correspondence address below

|         |                             |           |              |          |              |
|---------|-----------------------------|-----------|--------------|----------|--------------|
| Name    | Mitchell P. Brook           |           |              |          |              |
| Address | Baker & McKenzie            |           |              |          |              |
|         | 101 Broadway, Twelfth Floor |           |              |          |              |
| City    | San Diego                   | State     | California   | Zip Code | 92101        |
| Country | USA                         | Telephone | 619 236-1441 | Fax      | 619 236-0429 |

|                   |   |                                   |         |
|-------------------|---|-----------------------------------|---------|
| Name (Print/Type) | Mitchell P. Brook   | Registration No. (Attorney/Agent) | 32,967  |
| Signature         |  | Date                              | 7/10/01 |

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07/10/01

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**FEE TRANSMITTAL**  
**for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

|                      |                      |
|----------------------|----------------------|
| Application Number   | To be assigned       |
| Filing Date          | Filed herewith       |
| First Named Inventor | Timothy D. FORRESTER |
| Examiner Name        | To be assigned       |
| Group Art Unit       | To be assigned       |
| Attorney Docket No.  | 42252-1010           |

TOTAL AMOUNT OF PAYMENT (\$ ) 1156.00

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

02-0410

Deposit  
Account  
Name

Baker &amp; McKenzie

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

|     |     |     |     |                        |        |
|-----|-----|-----|-----|------------------------|--------|
| 101 | 710 | 201 | 355 | Utility filing fee     | 710.00 |
| 106 | 320 | 206 | 160 | Design filing fee      |        |
| 107 | 490 | 207 | 245 | Plant filing fee       |        |
| 108 | 710 | 208 | 355 | Reissue filing fee     |        |
| 114 | 150 | 214 | 75  | Provisional filing fee |        |

Fee Paid

SUBTOTAL (1) (\$ ) 710.00

**2. EXTRA CLAIM FEES**

|                    |    |         |   |   |    |   |        |
|--------------------|----|---------|---|---|----|---|--------|
| Total Claims       | 27 | -20** = | 7 | x | 18 | = | 126.00 |
| Independent Claims | 7  | -3** =  | 4 | x | 80 | = | 320.00 |
| Multiple Dependent |    |         |   |   |    |   |        |

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

|     |     |     |     |  |  |
|-----|-----|-----|-----|--|--|
| 103 | 18  | 203 | 9   | Claims in excess of 20                                     |  |
| 102 | 80  | 202 | 40  | Independent claims in excess of 3                          |  |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid                      |  |
| 109 | 80  | 209 | 40  | ** Reissue independent claims over original patent         |  |
| 110 | 18  | 210 | 9   | ** Reissue claims in excess of 20 and over original patent |  |

SUBTOTAL (2) (\$ ) 446.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

|     |        |     |        |  |  |
|-----|--------|-----|--------|--|--|
| 105 | 130    | 205 | 65     | Surcharge - late filing fee or oath  |  |
| 127 | 50     | 227 | 25     | Surcharge - late provisional filing fee or cover sheet                     |  |
| 139 | 130    | 139 | 130    | Non-English specification  |  |
| 147 | 2,520  | 147 | 2,520  | For filing a request for ex parte reexamination                            |  |
| 112 | 920*   | 112 | 920*   | Requesting publication of SIR prior to Examiner action                     |  |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action                        |  |
| 115 | 110    | 215 | 55     | Extension for reply within first month                                     |  |
| 116 | 390    | 216 | 195    | Extension for reply within second month                                    |  |
| 117 | 890    | 217 | 445    | Extension for reply within third month                                     |  |
| 118 | 1,390  | 218 | 695    | Extension for reply within fourth month                                    |  |
| 128 | 1,890  | 228 | 945    | Extension for reply within fifth month                                     |  |
| 119 | 310    | 219 | 155    | Notice of Appeal   |  |
| 120 | 310    | 220 | 155    | Filing a brief in support of an appeal                                     |  |
| 121 | 270    | 221 | 135    | Request for oral hearing   |  |
| 138 | 1,510  | 138 | 1,510  | Petition to institute a public use proceeding                              |  |
| 140 | 110    | 240 | 55     | Petition to revive - unavoidable   |  |
| 141 | 1,240  | 241 | 620    | Petition to revive - unintentional   |  |
| 142 | 1,240  | 242 | 620    | Utility issue fee (or reissue)   |  |
| 143 | 440    | 243 | 220    | Design issue fee   |  |
| 144 | 600    | 244 | 300    | Plant issue fee  |  |
| 122 | 130    | 122 | 130    | Petitions to the Commissioner  |  |
| 123 | 50     | 123 | 50     | Petitions related to provisional applications                              |  |
| 126 | 240    | 126 | 240    | Submission of Information Disclosure Stmt                                  |  |
| 581 | 40     | 581 | 40     | Recording each patent assignment per property (times number of properties) |  |
| 146 | 710    | 246 | 355    | Filing a submission after final rejection (37 CFR § 1.129(a))              |  |
| 149 | 710    | 249 | 355    | For each additional invention to be examined (37 CFR § 1.129(b))           |  |
| 179 | 710    | 279 | 355    | Request for Continued Examination (RCE)                                    |  |
| 169 | 900    | 169 | 900    | Request for expedited examination of a design application                  |  |


Fee Paid

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ )

**SUBMITTED BY**

|                   |   |                                      |         |           |              |
|-------------------|---|--------------------------------------|---------|-----------|--------------|
| Name (Print/Type) | Mitchell P. Brook   | Registration No.<br>(Attorney/Agent) | 32,967  | Telephone | 619 236-1441 |
| Signature         |  | Date                                 | 7/10/01 |           |              |

Complete (if applicable)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: ) Art Unit: To be assigned  
Timothy D. FORRESTER )  
Serial No.: To be assigned ) Examiner: To be assigned  
Filed: Filed herewith )  
For: SYSTEM AND METHOD FOR )  
RECEIVING AND TRANSMITTING )  
INFORMATION IN A MULTIPATH )  
ENVIRONMENT )

San Diego, California 92101  
July 10, 2001

BOX PATENT APPLICATION  
Commissioner For Patents  
Washington, D.C. 20231

**CERTIFICATE OF EXPRESS MAILING**

Dear Sir:

“Express Mail” mailing label No. EL 904 934 970 US

Date of Deposit: July 10, 2001

I hereby certify that a Utility Patent Application Transmittal, Fee Transmittal, check in the amount of \$1,156.00, Patent Application including the Specification, Abstract, and Claims (21 pages), 5 Sheets of Formal Drawings (FIGS. 1-5) and return postcard are being deposited with the U.S. Postal Service “Express Mail Post Office to the Addressee” service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
Karen M. Cruz